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# Buffer layer between a planar optical concentrator and a solar cell

Manuel E. Solano, Greg D. Barber, Akhlesh Lakhtakia, Muhammad Faryad, Peter B. Monk and Thomas E. Mallouk

Abstract—The effect of inserting a buffer layer between a periodically multilayered isotropic dielectric (PMLID) material acting as a planar optical concentrator and a photovoltaic solar cell was theoretically investigated. The substitution of the photovoltaic material by a cheaper dielectric material in a large area of the structure could reduce the fabrication costs without significantly reducing the efficiency of the solar cell. Both crystalline silicon and gallium arsenide were considered as the photovoltaic material. We found that the buffer layer can act as an antireflection coating at the interface of the PMLID and the photovoltaic materials, and increasing the spectrally averaged electron-hole pair density by  $\sim 25\%$  . Relative to a solarcell module without the POC and the buffer laver, there is an efficiency loss of 40% for c-Si solar cells and 14% for GaAs solar cells accompanied by the combination of the optimal POC and the optimal buffer layer.

Index Terms—planar optical concentrator, thin-film solar cell, surface multiplasmonics

#### I. INTRODUCTION

Several authors have proposed to use a laminar dielectric structure called a planar optical concentrator (POC) to steer vertically incident solar light into a horizontal path towards photovoltaic solar cells mounted on the edges [1]–[3]. The substitution of the photovoltaic material by a cheaper dielectric material in a large area of the light-harvesting structure could reduce the fabrication costs without significantly reducing the efficiency of conversion of photonic energy into electrical energy. The large-area fabrication of such composite structures using patterning techniques such as soft lithography and particle assembly [4]–[6] is now inexpensive enough that the concentrators and the photovoltaic components can have sub-mm dimensions. These micro-cell designs are forgiving

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with respect to the incorporation of optically lossy materials such as metals into the POC. They are also advantageous for heat dissipation, especially at the modest concentration ratios that are appropriate with relatively low-cost single-junction crystalline silicon (c-Si) and thin-film compound-semiconductor photovoltaic solar cells.

A POC comprising a periodic multilayered isotropic dielectric (PMLID) material backed by a metallic surface-relief grating was proposed and optimized earlier for crystalline-silicon (c-Si) solar cells [2]. The geometrical parameters and the refractive indexes of the materials in the POC were selected in order to maximize the solar-spectrum-integrated powerflux density inside the PMLID material. Consequently, the spectrally averaged electron-hole pair (EHP) density generated in the solar cells was also maximized. Since there is a mismatch between the optical permittivities of the PMLID constituents and the solar cell, reflection occurs at the PMLID/c-Si interfaces. If this reflection could be reduced, the conversion of photonic energy to electrical energy would be further enhanced.

Therefore, we decided to investigate the effect of an isotropic dielectric buffer layer inserted between the PMLID material and the solar cell in an effort to maximize the transfer of optical energy from the PMLID material to the solar cell. Based upon the optical permittivities of the layers in PMLID material and of the semiconductor, this buffer layer should act as an antireflection coating.

Figure 1 presents the schematic of one unit cell of the light-harvesting structure in the xz plane, the structure being invariant along the y axis. At the bottom is a metal grating of period L along the x axis. Atop one period of the metal grating sits a solar cell, atop  $N_c$  consecutive periods of the grating sits a PMLID material with  $N_d$  periods in the vertical direction, and one period each on both sides of the solar cell are shared by the PMLID material and the buffer layer. The topmost layer is indium-tin oxide (ITO) functioning as an optically transparent electrode. Using data on an optimized PMLID material [2], our objective here is to optimize the thickness  $L_b$  and the refractive index  $n_b$  of the buffer layer, when the solar cell is made of either c-Si or gallium arsenide (GaAs).

The plan of this paper is as follows. Section II provides a brief description of the theory used to compute the specular and non-specular reflectances and transmittances of the light-harvesting structure shown in Fig. 1, when it is illuminated by a linearly polarized plane wave whose propagation vector lies wholly in the xz plane. An  $\exp(-i\omega t)$  dependence on time t is implicit, with  $\omega$  as the angular frequency and i=1

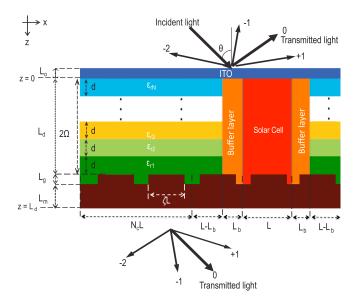


Fig. 1. Schematic of one period (along the x axis) of the light-harvesting structure. When a plane wave is incident at an angle  $\theta$  with respect to the z axis, the reflected and the transmitted fields comprise specular components (labeled 0) and nonspecular components (labeled  $\pm 1, \pm 2, \ldots$ ). A buffer layer is inserted between the PMLID material and the solar cell. The PMLID material is shown to have only one period along the z axis (i.e.,  $N_d=1$ ).

 $\sqrt{-1}$ . The spectrally averaged EHP density is calculated using the computed solution. Section III presents numerical results, when the solar cell is made of either c-Si or GaAs. The paper concludes with a few remarks in Sec. IV.

### II. THEORY IN BRIEF

# A. Geometry

Figure 1 shows the unit cell of the concentrator  $\{x \in (0, N_cL + 3L), z \in (-L_o, L_t)\}$ , where  $L_t = L_d + L_g + L_m$ . The region  $z < -L_o$  is vacuous, while the top layer  $\{x \in (0, N_cL + 3L), z \in (-L_o, 0)\}$  is made of indium-tin oxide.

The **PMLID** material occupies re- $\{x \in (0, N_c L + L - L_b), z \in (0, L_d)\}\$ gions and  $\{x \in (N_cL + 2L + L_b, N_cL + 3L), z \in (0, L_d)\}.$ the z axis, this material has  $N_d \ge 1$  periods. Each period is of thickness  $2\Omega = L_d/N_d$  and comprises N layers of equal thicknesses  $d = 2\Omega/N$ . The relative permittivity  $\varepsilon_{rj} > 1$  of the j-th layer,  $j \in [1, N]$ , is taken to be a real-valued function of the free-space wavelength  $\lambda_0 = 2\pi c_0/\omega$ , where  $c_0$  is the speed of light in free space. Our interest lies in the spectral regime  $\lambda_0 \in [\lambda_{0_{min}}, \lambda_{0_{max}}]$  dictated by the solar spectrum.

The regions  $\{x \in (0, N_cL + L - L_b), z \in (L_d, L_t)\}$  and  $\{x \in (N_cL + 2L + L_b, N_cL + 3L), z \in (L_d, L_t)\}$  are occupied by a metallic surface-relief grating with its troughs filled by a dielectric material of relative permittivity  $\varepsilon_{r1}$ . The metal/dielectric interface has a periodic rectangular profile with a period L along the x axis, corrugation height  $L_g$ , and duty cycle  $\zeta \in [0,1]$ . The  $\lambda_0$ -dependent relative permittivity of the metal is denoted by  $\varepsilon_m$  with  $\mathrm{Re}(\varepsilon_m) < 0$  and  $\mathrm{Im}(\varepsilon_m) > 0$ .

The regions  $\{x \in (N_cL + L, N_cL + 2L), z \in (0, L_d)\}, \{x \in (N_cL + L, N_cL + 1.5L - 0.5\zeta L), z \in (L_d, L_d + L_q)\},$ 

and  $\{x \in (N_cL+1.5L+0.5\zeta L, N_cL+2L), z \in (L_d, L_d+L_g)\}$  are occupied by a semiconductor of  $\lambda_0$ -dependent relative permittivity  $\varepsilon_s$  with  $\mathrm{Re}(\varepsilon_s)>0$  and  $\mathrm{Im}(\varepsilon_s)>0$ .

The regions  $\{x\in(N_cL+L-L_b,N_cL+L),z\in(0,L_d)\}$ ,  $\{x\in(N_cL+2L,N_cL+2L+L_b),z\in(0,L_d)\}$ ,  $\{x\in(N_cL+0.5L+0.5\zeta L,N_cL+L),z\in(L_d,L_d+L_g)\}$ , and  $\{x\in(N_cL+2L,N_cL+2.5L-0.5\zeta L),z\in(L_d,L_d+L_g)\}$  are occupied by a buffer material of relative permittivity  $\varepsilon_b=n_b^2>1$ , which is taken to be non-dissipative and non-dispersive in the spectral regime of interest.

The remainder of the unit cell is occupied by a metal of relative permittivity  $\varepsilon_m$ . The half space  $z > L_t$  is vacuous.

# B. Plane-wave response

Suppose that the light-harvesting structure is illuminated by an obliquely incident plane wave whose electric field phasor is given by

$$\mathbf{E}_{inc}(x, z, \lambda_0) = [a_s \hat{\mathbf{u}}_y + a_p (-\hat{\mathbf{u}}_x \cos \theta + \hat{\mathbf{u}}_z \sin \theta)]$$

$$\exp \{ik_0 [x \sin \theta + (z + L_o) \cos \theta]\},$$

$$z < -L_o.$$
(1)

Here,  $\theta$  is the angle of incidence with respect to the z axis,  $a_s$  is the amplitude of the s-polarized component,  $a_p$  is the amplitude of the p-polarized component,  $k_0 = \omega/c_0$  is the free-space wavenumber, and  $\{\hat{\mathbf{u}}_x, \hat{\mathbf{u}}_y, \hat{\mathbf{u}}_z\}$  is the triple of Cartesian unit vectors.

As depolarization cannot occur in this problem, the electric field phasors of the reflected and the transmitted fields can be stated as [7], [8]

$$\mathbf{E}_{ref}(x, z, \lambda_0) = \sum_{n \in \mathbb{Z}} \left( a_s r_{ss}^{(n)} \hat{\mathbf{u}}_y + a_p r_{pp}^{(n)} \mathbf{p}_n^- \right)$$

$$\exp \left\{ i \left[ \kappa^{(n)} x - \alpha^{(n)} (z + L_o) \right] \right\},$$

$$z < -L_o, \qquad (2)$$

and

$$\mathbf{E}_{tr}(x, z, \lambda_0) = \sum_{n \in \mathbb{Z}} \left( a_s t_{ss}^{(n)} \hat{\mathbf{u}}_y + a_p t_{pp}^{(n)} \mathbf{p}_n^+ \right)$$

$$\exp \left\{ i \left[ \kappa^{(n)} x + \alpha^{(n)} \left( z - L_t \right) \right] \right\},$$

$$z > L_t, \qquad (3)$$

respectively, where  $\mathbb{Z} \equiv \{0, \pm 1, \pm 2, ...\},\$ 

$$\kappa^{(n)} = k_0 \sin \theta + 2\pi \frac{n}{(N_c + 3)L}, \qquad (4)$$

$$\alpha^{(n)} = \begin{cases} +\sqrt{k_0^2 - (\kappa^{(n)})^2}, & k_0^2 \ge (\kappa^{(n)})^2 \\ +i\sqrt{(\kappa^{(n)})^2 - k_0^2}, & k_0^2 < (\kappa^{(n)})^2 \end{cases}, (5)$$

and

$$\mathbf{p}_n^{\pm} = \mp \frac{\alpha^{(n)}}{k_n} \hat{\mathbf{u}}_x + \frac{\kappa^{(n)}}{k_n} \hat{\mathbf{u}}_z. \tag{6}$$

The  $\lambda_0$ -dependent reflection coefficients of order n are denoted by  $r_{ss}^{(n)}$  and  $r_{pp}^{(n)}$ , and the corresponding transmission coefficients by  $t_{ss}^{(n)}$  and  $t_{pp}^{(n)}$ . Thus, the reflected and the transmitted

field phasors comprise specular components identified by n=0 and non-specular components identified by  $n\neq 0$ . Provided that  $L_m$  is sufficiently thick, the transmitted field will transport virtually no energy in the +z direction.

In the region  $z \in (-L_o, L_t)$ , the electric and magnetic field phasors must satisfy the frequency-domain Maxwell curl equations

$$\nabla \times \mathbf{E}(x, z, \lambda_0) = i\omega \mu_0 \mathbf{H}(x, z, \lambda_0)$$

$$\nabla \times \mathbf{H}(x, z, \lambda_0) = -i\omega \varepsilon_0 \epsilon_r(x, z, \lambda_0) \mathbf{E}(x, z, \lambda_0)$$

$$, \qquad (7)$$

where  $\varepsilon_0$  is the permittivity and  $\mu_0$  is the permeability of free space. We used the finite-element method (FEM) [9], [10] to solve Eqs. (7). After decoupling the s- and p-polarization states and exploiting the independence of the field phasors from y, we reduced Eqs. (7) to two scalar Helmholtz equations, one for each of the two linear polarization states. The boundary conditions on the sides x=0 and  $x=N_cL+3L$  of the unit cell have to be quasi-periodic. Standard transmission conditions hold across the top boundary  $z=L_t$ , whose satisfaction involves Eqs. (1)–(3).

We used a special-purpose finite-element meshing function based on the TRIANGLE library [11]. Inside each triangle of the mesh, the field phasors were approximated by cubic polynomials. The summations in the expressions of the reflected and transmitted field phasors were truncated to  $n \in \{-M_t, M_t\}$ .

# C. Spectrally averaged EHP density

After obtaining the reflection and transmission coefficients for any  $\lambda_0 \in [\lambda_{0_{min}}, \lambda_{0_{max}}]$ , the electric field phasor  $\mathbf{E}(x,z,\lambda_0)$  can be obtained at any location inside the unit cell, for an unpolarized incident plane wave with an electric field of magnitude  $E_o=1~\mathrm{V}~\mathrm{m}^{-1}$ .

Thereafter, the spectrally averaged EHP density can be computed as [2]

$$N_{avg} = \int_{\lambda_{0_{min}}}^{\lambda_{0_{max}}} F(\lambda_0) d\lambda_0, \qquad (8)$$

where

$$F(\lambda_0) = \lambda_0 \frac{S(\lambda_0) \operatorname{Im}[\epsilon_s(\lambda_0)]}{L L_d c_0 \hbar E_0^2 \cos \theta}$$

$$\left\{ \int_{x=N_c L+L}^{N_c L+2L} \left[ \int_{z=0}^{z_m(x)} |\mathbf{E}(x, z, \lambda_0)|^2 dz \right] dx \right\}, \quad (9)$$

$$z_{m}(x) = \begin{cases} L_{d} + L_{g}, & x \in (N_{c}L + L, \\ N_{c}L + 1.5L - 0.5\zeta L) \end{cases}$$

$$L_{d}, & x \in (N_{c}L + 1.5L - 0.5\zeta L, \\ N_{c}L + 1.5L + 0.5\zeta L) \end{cases}, (10)$$

$$L_{d} + L_{g}, & x \in (N_{c}L + 1.5L + 0.5\zeta L, \\ N_{c}L + 2L)$$

 $\hbar$  is the reduced Planck constant,  $S(\lambda_0)$  is the AM1.5 solar spectrum [12],  $\lambda_{0_{min}}=400$  nm, and  $\lambda_{0_{max}}=1000$  nm.

#### III. NUMERICAL RESULTS

For all calculations, we set  $L_o=100$  nm, a practical value. Also, we chose the metal to be silver and  $L_m=40$  nm. As optimization of the POC alone had previously [2] provided the relative permittivities of all N=9 layers in every period of the PMLID material, we used the same here as well. The values of  $\epsilon_m$  and  $\epsilon_{rj}$ ,  $j\in[1,N]$ , versus  $\lambda_0$  are shown in Figs. 3 and 4, respectively. We also adopted the following parameters previously found to be optimal in the absence of the buffer layer [2]:  $\theta=7$  deg, d=120 nm,  $N_d=3$ ,  $\zeta=0.72$ ,  $L_g=62$  nm, and L=400 nm. We also set  $N_c=2$ , based on the same optimization study. Our objective was to determine  $L_b$  and  $n_b$  that maximizes  $N_{avg}$  when the solar cell is made of c-Si or GaAs.

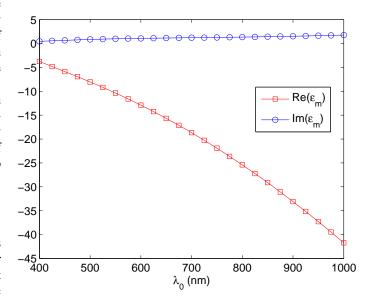


Fig. 2. Real (red squares) and imaginary (blue circles) part of relative electric permittivity  $\varepsilon_m$  of silver versus  $\lambda_0$ .

# A. Optimization of buffer layer when $N_d = 1$

The optimal POC without the buffer layer was found by Solano  $et\ al.$  [2] to have  $N_d=3$ . However, optimization of the buffer layer is going to be computationally very time consuming with  $N_d=3$ , given the limitations of our computational resources. Therefore, we first optimized the buffer layer after setting  $N_d=1$  for the POC and then found the characteristics of the combination of the optimized POC with  $N_d=3$  and the optimized buffer layer. The latter results are presented in Sec. III-B.

Figure 4 shows the mesh used when  $N_d=1$ . The mesh has 1792 triangles in the region  $\{x\in[0,L],z\in[-L_o,L_t]\}$  (and in every other similar region of the unit cell), and each triangle is completely filled by just one dielectric material. We set  $M_t=20$  so that the truncation error for the transmitted and reflected waves would be negligible in comparison to the error inherent in partitioning space into triangles, as required by the FEM.

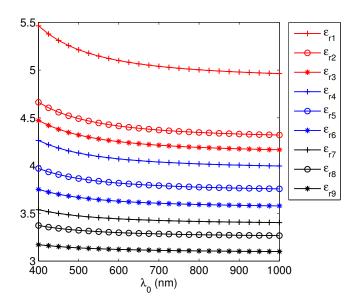


Fig. 3. Relative permittivity  $\varepsilon_{rj}$  of the j-th layer,  $j\in[1,9]$ , of PMLID material versus  $\lambda_0$ .

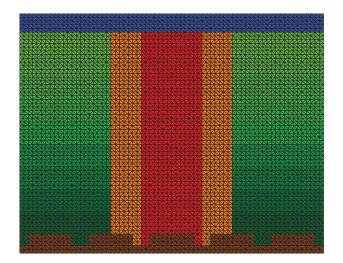


Fig. 4. FEM mesh (dark lines) of the light-harvesting structure. Colors in the background correspond to different regions: Metallic grating (brown), solar cell (red), buffer layer (orange), the PMLID material with  $N_d=1$  (green), and the ITO layer (blue).

1) c-Si solar cell: Let us first present results for the solar cell made of c-Si, whose relative permittivity is provided in Fig. 5 as a function of  $\lambda_0$  [13].

In Fig. 6 the computed values of  $N_{avg}$  for  $2L_b \in \{0,58,115,173,230,288,344,400,458,515,573,630,688,744,800\}$  nm and  $n_b \in \{1.4,1.8,2.2,2.6,3.0,3.4\}$  are displayed. The set of values of  $2L_b$  was chosen so that the outer edges of the buffer layer always coincide with the mesh lines on the grid.

The spectrally averaged EHP density is always higher if a buffer layer with refractive index larger than 2.2 is present, indicating a reduction in the reflectance at the POC/solar-cell interface. In addition, for any  $n_b \geq 2.2$ ,  $N_{avg}$  achieves a maximum at some  $2L_b \in [300, 500]$  nm and decreases with further increase in  $2L_b$ . The data allow us to conclude that the

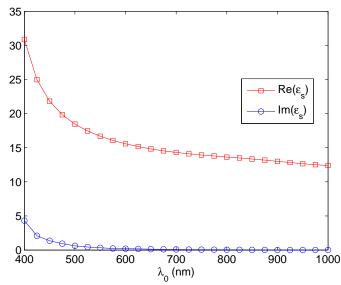


Fig. 5. Real (red squares) and imaginary (blue circles) parts of the relative permittivity  $\varepsilon_s$  of c-Si versus  $\lambda_0$ .

optimal parameters of the buffer layer are:  $2L_b^{opt}=400$  nm and  $n_b^{opt}=2.6$ . Then,  $N_{avg}^{opt}=1.35\times 10^{17}~{\rm cm^{-2}}$  which is 23% higher than when there is no buffer layer (i.e.,  $2L_b=0$ ).

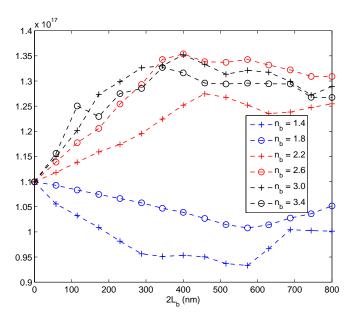


Fig. 6.  $N_{avg}$  (cm<sup>-2</sup>) versus  $2L_b$  for  $n_b \in \{1.4, 1, 8, 2.2, 2.6, 3.0, 3.4\}$  when the solar cell is made of crystalline silicon,  $N_d = 1$ , and  $n_b = 1.6$  (blue crosses), 1.8 (blue circles), 2.2 (red crosses), 2.6 (red circles), 3.0 (black crosses), or 3.4 (black circles).

Let us denote by  $F^{opt}$  and  $F^{ref}$  the values of F when the buffer layer is optimal (i.e.,  $2L_b=400$  nm and  $n_b=2.6$ ) and absent (i.e.,  $2L_b=0$ ), respectively. Figure 7 shows  $F^{opt}$  and  $F^{ref}$  as functions of  $\lambda_0$ . In much of the spectral regime of interest,  $F^{opt} > F^{ref}$ . The maximum value of the ratio  $F^{opt}/F^{ref}$  is 2.21, which occurs for  $\lambda_0=735.44$  nm.

In Fig. 8, we present the spatial distributions of  $|\mathbf{E}(x,z,\lambda_0)|^2$  in the entire structure for  $2L_b$ 

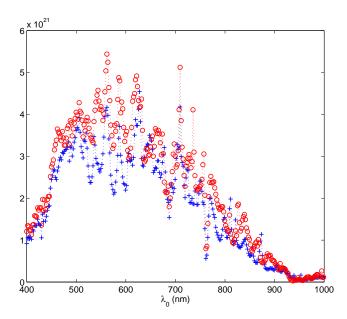


Fig. 7.  $F^{opt}$  (cm<sup>-3</sup>) (red circles) and  $F^{ref}$  (cm<sup>-3</sup>) (blue crosses) versus  $\lambda_0$ , when the solar cell is made of c-Si and  $N_d=1$ .

 $\{0,230,400,800\}$  nm, when  $\lambda_0=735.44$  nm,  $N_d=1$ , and  $n_b=2.6$ . Close to the grating, a band of hot spots occurs in the POC, the buffer layer, and the solar cell when  $2L_b=400$  nm. This band explains the doubling of F by the insertion of the optimal buffer layer. We attribute the occurrence of this band to surface-multiplasmonic effects [2], [7].

2) GaAs solar cell: We also considered the solar cell made of GaAs, whose relative permittivity is provided in Fig. 9 as a function of  $\lambda_0$  [14].

Similarly to Fig. 6, the computed values of  $N_{avg}$  versus  $2L_b$  and  $n_b$  are displayed in Fig. 10. These data shows that the optimal parameters are:  $2L_b^{opt}=630$  nm and  $n_b^{opt}\in\{2.6,3.0\}$ . Then,  $N_{avg}^{opt}=2.92\times10^{17}$  cm<sup>-2</sup> which is 31% higher than when there is no buffer layer (i.e.,  $2L_b=0$ ). Moreover, in Fig. 11 we observe that across almost all wavelengths of interest,  $F^{opt}>F^{ref}$ . The maximum value of the ratio  $F^{opt}/F^{ref}$  is 1.82, which occurs for  $\lambda_0=835.27$  nm.

In Fig. 12, we present the spatial distributions of  $|\mathbf{E}(x,z,\lambda_0)|^2$  in the entire structure for  $2L_b \in \{0,400,630\}$  nm, when  $\lambda_0=835.27$  nm and  $n_b=2.6$ . The intensity is higher in the solar cell when the buffer layer is present. No significant differences in intensities are observed between  $2L_b=400$  nm and  $2L_b=630$  nm.

# B. Enhancement with optimal buffer layer when $N_d = 3$

The optimal buffer layers identified in Sec. III-A were combined with the optimal POC [2] for which  $N_d=3$ , and the spectrally averaged EHP densities were calculated for both c-Si and GaAs solar cells.

1) c-Si solar cell: For the c-Si solar cell, the optimal values for the buffer layer were found to be  $2L_b=400$  nm and  $n_b=2.6$  in Sec. III-A1. The spectrally averaged EHP density was found to be 27% higher for  $2L_b=400$  nm than when the buffer layer is absent (i.e.,  $2L_b=0$ ).

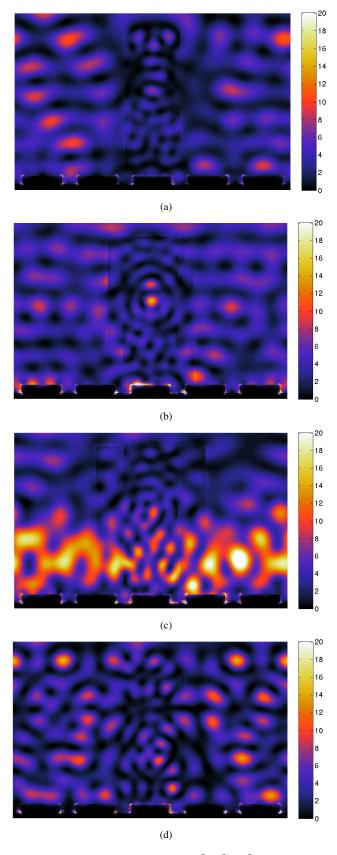


Fig. 8. Spatial distribution of  $|\mathbf{E}(x,z,\lambda_0)|^2$  ( $V^2$  m $^{-2}$ ) inside a unit cell of the light-harvesting structure the incident light is unpolarized,  $\lambda_0=735.44$ nm, the solar cell is made of c-Si,  $N_d=1$ , and  $n_b=2.6$ . (a)  $2L_b=0$ , (b)  $2L_b=230$  nm, (c)  $2L_b=400$  nm, and (d)  $2L_b=800$  nm.

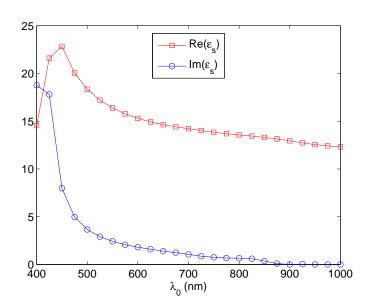


Fig. 9. Real (red squares) and imaginary (blue circles) parts of the relative permittivity  $\varepsilon_s$  of GaAs versus  $\lambda_0$ .

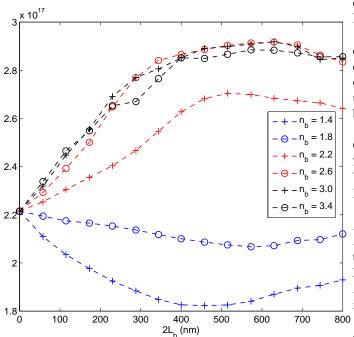


Fig. 10.  $N_{avg}$  (cm<sup>-2</sup>) versus  $2L_b$  for  $n_b \in \{1.4, 1, 8, 2.2, 2.6, 3.0, 3.4\}$  when the solar cell is made of GaAs,  $N_d = 1$ , and  $n_b = 1.6$  (blue crosses), 1.8 (blue circles), 2.2 (red crosses), 2.6 (red circles), 3.0 (black crosses), or 3.4 (black circles).

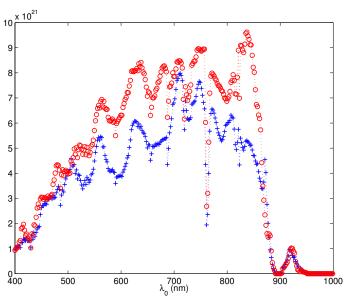


Fig. 11.  $F^{opt}$  (cm<sup>-3</sup>) (red circles) and  $F^{ref}$  (cm<sup>-3</sup>) (blue crosses) versus  $\lambda_0$ , when the solar cell is made of GaAs and  $N_d=1$ .

Figure 13 shows F versus  $\lambda_0$  when  $2L_b=0$  nm (blue crosses) and  $2L_b=400$  nm (blue circles). We clearly observe an enhancement of F when the buffer layer is included. The maximum value of the ratio  $F^{opt}/F^{ref}$  is 2.49, which occurs for  $\lambda_0=919.13$  nm. Extremely high values of  $|\mathbf{E}(x,z,\lambda_0)|^2$  are observed in presence of the optimal buffer layer, as is evident from a comparison of Fig. 14(a) for  $L_b=0$  with Fig. 14(b) for  $2L_b=400$  nm.

On a per-unit-area basis, replacement of 80% of the solar cell by the the optimal POC will lead to a 53.6% loss in efficiency. However, replacement of a part of the POC by the optimal buffer layer reduces the efficiency loss to 40%. The use of very cheap dielectric materials for the POC and the buffer layer could offset this reduction.

2) GaAs solar cell: Finally we present our results for the GaAs solar cell, considering  $N_d=3$  and the optimal values  $2L_b=630$  nm and  $n_b=2.6$  obtained from subsection III-A2. We found that  $N_{avg}$  increases by 41% when the optimal buffer layer is introduced.

The plots of  $F^{opt}$  (for  $2L_b=630$  nm) and  $F^{ref}$  (for  $L_b=0$  nm) with respect to  $\lambda_0$  in Fig. 15 indicate that  $F^{opt}>F^{ref}$  for most of the spectral regime of interest, with the largest value of  $F^{opt}/F^{ref}$  being 2.21 at  $\lambda_0=945.09$  nm. Fig. 16 shows  $|\mathbf{E}(x,z,\lambda_0)|^2$  for  $2L_b\in\{0,630\}$  nm, when  $\lambda_0=945.09$  nm and  $n_b=2.6$ . We again observe very high intensity when a buffer layer is present.

On a per-unit-area basis, replacement of 80% of the solar cell by the combination of the optimal POC and the optimal buffer layer will result in efficiency loss of just 14%. The use of very cheap dielectric materials for the POC and the buffer layer is very likely to offset this reduction.

# IV. CONCLUDING REMARKS

Given that dielectric materials used in planar optical concentrators are cheaper than photovoltaic materials in common use,

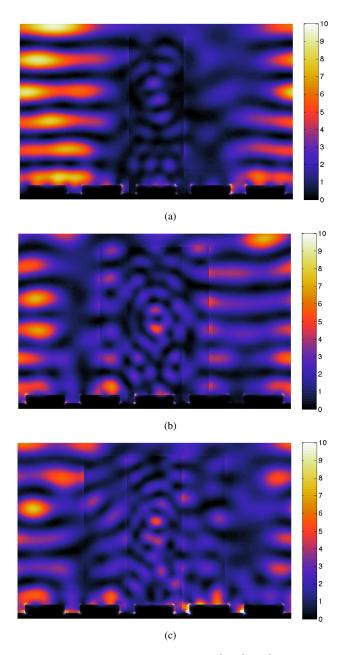


Fig. 12. Spatial distribution of  $|\mathbf{E}(x,z,\lambda_0)|^2$  (V $^2$  m $^{-2}$ ) inside a unit cell of the light-harvesting structure the incident light is unpolarized,  $\lambda_0=835.27$ nm, the solar cell is made of GaAs,  $N_d=1$ , and  $n_b=2.6$ . (a)  $2L_b=0$ , (b)  $2L_b=400$  nm, and (c)  $2L_b=630$  nm.

the incorporation of a POC can reduce the cost of fabricating solar-cell modules. Our previous work [2] had shown that a 4:1 POC made of a PMLID material could result in a loss of 54% (on a per-unit-area basis) in efficiency compared to a pure planar solar cell. Our current work improves upon that result through the insertion of a buffer layer between the PMLID material and the solar cell. Our calculations show that the buffer layer can have a wide tolerance in thickness from 150 to 350 nm, which is highly desirable from a manufacturing perspective, and may have a potential optimal thickness of 200 nm. More importantly, the addition of the buffer layer between the PMLID material and the solar cell improves the

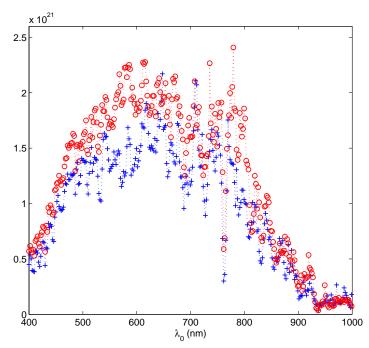


Fig. 13. Same as Fig. 7, except that  $N_d = 3$ .

spectrally averaged electron-hole pair density in a crystalline-silicon solar cell by 23% and in a GaAs solar cell by 31% compared to the same structure without the buffer layer for the same solar irradiance conditions.

Relative to a solar-cell module without the POC and the buffer layer, there is an efficiency loss of 40% for c-Si solar cells and 14% for GaAs solar cells accompanied by the combination of the optimal POC and the optimal buffer layer. These losses are amply compensated by the much lower costs of the dielectric materials in comparison to those of the semiconductors.

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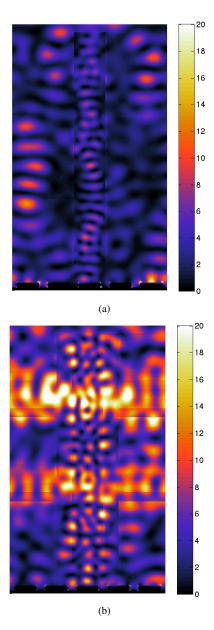


Fig. 14. Spatial distribution of  $|\mathbf{E}(x,z,\lambda_0)|^2$  (V $^2$  m $^{-2}$ ) inside a unit cell of the light-harvesting structure the incident light is unpolarized,  $\lambda_0=919.13$ nm, the solar cell is made of c-Si,  $N_d=3$ , and  $n_b=2.6$ . (a)  $2L_b=0$  and (b)  $2L_b=400$  nm.

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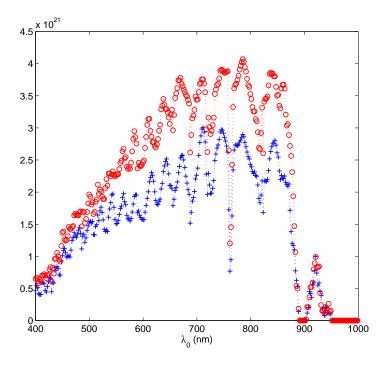


Fig. 15. Same as Fig. 11, except that  $N_d = 3$ .

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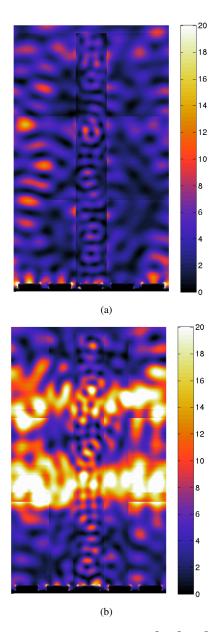


Fig. 16. Spatial distribution of  $|\mathbf{E}(x,z,\lambda_0)|^2$  (V<sup>2</sup> m<sup>-2</sup>) inside a unit cell of the light-harvesting structure the incident light is unpolarized,  $\lambda_0=945.09$ nm, the solar cell is made of GaAs,  $N_d=3$ , and  $n_b=2.6$ . (a)  $2L_b=0$  and (b)  $2L_b=630$  nm.

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